

Commissioner for Patents Washington, DC 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAM

FIRST NAMED APPLICANT ATTORN

ATTORNEY DOCKET NUMBER

10/015,394

12/11/2001

Kevin P. Baker

P2830P1C41

09157 GENENTECH, INC. 1 DNA WAY SOUTH SAN FRANCISCO, CA 94080 - CONFIRMATION NO. 9887
FORMALITIES LETTER
OC0000000007894688

Date Mailed: 02/12/2003

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Baker et al.

Docket No.:

39780-2830P1C41

Serial No.:

10/015,394

Group Art Unit:

Unassigned

Filing Date:

December 11, 2001

Examiner:

Unassigned

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC

ACIDS ENCODING THE SAME

Bos Sequence Listing Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL

Transmitted herewith are the following documents:

- (1) Transmittal;
- (2) Statement under 37 C.F.R. §§ 1.821(f) and (g);
- (3) Amendment;
- (4) Sequence listing with diskette copy; and
- (5) Copy of Notice to Comply.

The Commissioner is authorized to charge any required fees, or credit any overpayment to Deposit Account No. 08-1641.

Attached is a postcard for date-stamped return as confirmation of receipt of these materials.

Respectfully submitted,

Date: April 11, 2003

Ginger R. Dreger Reg. No. 33,055

HELLER EHRMAN WHITE & MCAULIFFE LLP

Customer No. 35489

275 Middlefield Road

Menlo Park, CA 94025

Telephone: (650) 324-7000 Facsimile: (650) 324-0638

CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited on April 11, 2003 with the U.S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV165101258US, Box Sequence Listing, Commissioner for Patents, Washington, D.C. 20231

Date: April 11, 2003

Cheryl Ann/Rogers